



10/698 046

10/698 046  
PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Assignee: Spinal Concepts, Inc.

Applicants: (See Attachment A)

Patent No.: (See Attachment A)

Issue Date: (See Attachment A)

Serial No.: (See Attachment A)

Filed: (See Attachment A)

For: (See Attachment A)

Attorney Docket No.: (See Attachment A)

**Certificate of Mailing under 37 CFR §1.8(a):**  
I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as First Class Mail addressed to as follows:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Date of Deposit: August 19, 2004.

*Kimberly A. Iorio 8/19/04*  
Kimberly A. Iorio Date

**TRANSMITTAL LETTER**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313

Dear Sir:

Enclosed herewith for the patent application identified in Attachment A, attached herewith, are the following:

1. Power of Attorney and Change of Correspondence Address Indication Form with Attachment A listing each Application/Patent No. (6 Pages);
2. Statement Under 37 CFR 3.73(b) with Attachment A listing each Application, Patent, Reel and Frame No. (6 Pages);
3. Notification of Loss of Entity with Attachment A listing each Application/Patent No. (6 Pages); and
4. Return Receipt Postcard.

The Commissioner is hereby authorized to charge any additional Filing Fees required under 37 CFR §1.16, as well as any patent application processing fees under 37 CFR §1.17 associated with this communication for which full payment had not been tendered, to Deposit Account No. 01-0025.

Respectfully submitted,  
Spinal Concepts, Inc.

*Beth A. Vrioni*  
Beth A. Vrioni  
Registration No. 39,869  
Attorney for Applicants

ABBOTT LABORATORIES  
Customer No.: 23492  
Telephone: (847) 938-7576  
Facsimile: (847) 938-2623



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Spinal Concepts, Inc.Application No./Patent No.: See Attachment A Filed/Issue Date: See Attachment AEntitled: See Attachment ASpinal Concepts, Inc. a corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1.  the assignee of the entire right, title, and interest; or2.  an assignee of less than the entire right, title and interest.The extent (by percentage) of its ownership interest is \_\_\_\_\_ %  
in the patent application/patent identified above by virtue of either:A.  An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.  
(See Attachment A)**OR**B.  A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

 Additional documents in the chain of title are listed on a supplemental sheet. Copies of assignments or other documents in the chain of title are attached.[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

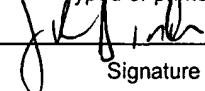
7/23/04Jeffrey R. Binder

Date

Typed or printed name

(512) 918-2700

Telephone number



Signature

Vice President, Spinal Concepts, Inc.

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**STATEMENT UNDER 37 CFR 3.73(b)**  
**Attachment A**



| Application No. | Filing Date | Status  | Issued Date | Patent No. | Attorney Docket No. | Title                                    | First Named Inventor | Assignment Reference No. & Recordation Date  |
|-----------------|-------------|---------|-------------|------------|---------------------|--|----------------------|--|
| 09/691279       | 10/17/2000  | Granted | 5/13/2003   | 6562040    | 5259-00100USC1      | Spinal Fixation System                   | Erik J. Wagner       | 008359/0658 Recorded 1/21/97   |
| 09/093756       | 6/9/1998    | Granted | 10/17/2000  | 6132430    | 5259-00100USD1      | Spinal Fixation System                   | Erik J. Wagner       | 008359/0658 Recorded 1/21/97   |
| 09/434324       | 11/14/1999  | Filed   |             |            |                     |  |                      | 008359/0658 Recorded 1/21/97;<br>008802/0192 Recorded 12/31/97; 014210/0603 Recorded 6/26/03 |
| 08/740123       | 10/24/1996  | Granted | 7/9/2002    | 6416515    | 5259-00100USD2      | Method and Apparatus for Spinal Fixation | Erik J. Wagner       | 008359/0658 Recorded 1/21/97   |
| 08/942325       | 10/1/1997   | Granted | 7/22/2003   | 6595992    | 5259-00100USO1      | Spinal Fixation System                   | Erik J. Wagner       | 008359/0658 Recorded 1/21/97   |
| 09/026711       | 2/20/1998   | Granted | 11/23/1999  | 5989250    | 5259-00100USP1      | Method and Apparatus for Spinal Fixation | Erik J. Wagner       | 008802/0192 Recorded 12/31/97  |
| 09/605200       | 6/27/2000   | Granted | 6/10/2003   | 6576016    | 5259-00100USP2      | Method and Apparatus for Spinal Fixation | Erik J. Wagner       | 014210/0603 Recorded 6/26/03   |
| 09/153178       | 9/15/1998   | Granted | 6/27/2000   | 6080193    | 5259-00200USD1      | Adjustable Height Fusion Device          | Stephen Houchschuler | 009603/0209 Recorded 11/23/98; 008756/0146 Recorded 10/14/97                                 |
| 08/847172       | 5/1/1997    | Granted | 4/4/2000    | 6045579    | 5259-00200USO1      | Adjustable Height Fusion Device          | Stephen Houchschuler | 009603/0209 Recorded 11/23/98  |
| 09/046759       | 3/24/1998   | Granted | 11/4/2003   | 6641614    | 5259-00200USP1      | Multi-Adjustable-Height Fusion Cage      | Stephen Houchschuler | 008756/0146 Recorded 10/14/97  |
| 09/070116       | 4/30/1998   | Granted | 3/16/2004   | 6706070    | 5259-00200USP2      | Multi-Adjustable-Height Fusion Device    | Erik J. Wagner       | 009442/0848 Recorded 8/31/98   |
| 09/211887       | 12/15/1998  | Granted | 8/10/1999   | 5935133    | 5259-00500USD1      | Surgical Cable System and Method         | Erik J. Wagner       | 009422/0983 Recorded 8/24/98   |
| 09/211888       | 12/15/1998  | Granted | 5/21/2002   | 6391030    | 5259-00500USD2      | Surgical Cable System and Method         | Erik J. Wagner       | 008985/0594 Recorded 2/9/98  |
| 08/1919127      | 8/26/1997   | Granted | 10/12/1999  | 5964769    | 5259-00500USO1      | Surgical Cable System and Method         | Erik J. Wagner       | 008985/0594 Recorded 2/9/98  |
| 09/085186       | 5/26/1998   | Granted | 4/25/2000   | 6053921    | 5259-00500USP1      | Surgical Cable System and Method         | Erik J. Wagner       | 009351/0189 Recorded 7/21/98   |
| 09/360062       | 7/23/1999   | Granted | 1/27/2004   | 6682533    | 5259-00500USP2      | Surgical Cable System and Method         | Erik J. Wagner       | 009874/0023 Recorded 4/2/99  |
|                 |             |         |             |            |                     |  |                      | 010396/0094 Recorded 11/22/99  |

**STATEMENT UNDER 37 CFR 3.73(b)**

**Attachment A**

| Application No. | Filing Date | Status  | Issue Date | Patent No. | Attorney Docket No. | Title           | Firm/Named Inventor   | Assignment/Reel/Frame No. & Recordation Date      |
|-----------------|-------------|---------|------------|------------|---------------------|-----------------|---|---|
| 10/036012       | 12/26/2001  | Filed   |            |            |                     | 5259-006000USC1 | System and Method for Stabilizing the Human Spine with a Bone Plate             | Erik J. Wagner 009450/0947 Recorded 9/11/98       |
| 09/089027       | 6/2/1998    | Granted | 9/24/2002  | 6454769    |                     | 5259-006000USP1 | System and Method for Stabilizing the Human Spine with a Bone Plate             | Erik J. Wagner 009450/0947 Recorded 9/11/98       |
| 09/095290       | 6/10/1998   | Granted | 2/29/2000  | 6030389    |                     | 5259-006000USP2 | System and Method for Stabilizing the Human Spine with a Bone Plate             | Erik J. Wagner 009450/0959 Recorded 9/11/98       |
| 08/896281       | 7/16/1997   | Granted | 7/27/1999  | 5928243    |                     | 5259-007000US01 | Pedicle Probe and Depth Gage  | Richard D. Guyer 008932/0159 Recorded 1/30/98     |
| 09/159303       | 9/23/1998   | Granted | 11/14/2000 | 6146382    |                     | 5259-012000US01 | Occipito-Cervical Stabilization System and Method                               | John R. Hurlbert 0096667/0352 Recorded 12/23/98   |
| 09/070323       | 4/30/1998   | Granted | 8/8/2000   | 6099527    |                     | 5259-013000US01 | Bone Protector and Method   | Stephen Houchschuler 009650/0211 Recorded 11/9/98 |
| 09/059162       | 4/13/1998   | Granted | 5/16/2000  | 6063089    |                     | 5259-021000USC1 | Side Mounted Polyaxial Pedicle Screw  | Joseph P. Errico 009275/0021 Recorded 6/30/98     |
| 08/772407       | 12/23/1996  | Granted | 7/7/1998   | 5776135    |                     | 5259-021000US01 | Side Mounted Polyaxial Pedicle Screw  | Joseph P. Errico 009275/0021 Recorded 6/30/98     |
| 09/059108       | 4/13/1998   | Granted | 10/31/2000 | 6139548    |                     | 5259-022000USC1 | Sliding Shaft Variable Length Cross-Link Device for Use with Dual Rod Apparatus | Joseph P. Errico 009275/0021 Recorded 6/30/98     |
| 08/549977       | 10/30/1995  | Granted | 5/19/1998  | 5752955    |                     | 5259-022000US01 | Sliding Shaft Variable Length Cross-Link Device for Use with Dual Rod Apparatus | Joseph P. Errico 009275/0021 Recorded 6/30/98     |
| 09/070234       | 4/30/1998   | Granted | 10/5/1999  | 5961518    |                     |                 | Polyaxial Pedicle Screw Having a Through Bar Clamp Locking Mechanism            | Joseph P. Errico 009275/0021 Recorded 6/30/98     |
| 09/261977       | 3/4/1999    | Granted | 1/25/2000  | 6017344    |                     | 5259-023000USD1 | Polyaxial Pedicle Screw Having a Through Bar Clamp Locking Mechanism            | Joseph P. Errico 009275/0021 Recorded 6/30/98     |

**STATEMENT UNDER 37 CFR 3.73(b)**  
**Attachment A**

| Application No. | Filing Date | Status  | Issue Date | Patent No. | Attorney Docket No. | Title   | First Named Inventor | Assignment Record Filing No. & Recordation Date |
|-----------------|-------------|---------|------------|------------|---------------------|---|----------------------|---|
| 08/856773       | 5/15/1997   | Granted | 7/28/1998  | 5785711    | 5259-02300US01      | Polyaxial Pedicle Screw Having a Through Bar Clamp Locking Mechanism                  | Joseph P. Errico     | 009275/0021 Recorded 6/30/98                    |
| 08/880810       | 6/23/1997   | Granted | 9/22/1998  | 5810819    | 5259-02300USP1      | Polyaxial Pedicle Screw Having a Compression Locking Rod Gripping Mechanism           | Joseph P. Errico     | 009275/0021 Recorded 6/30/98                    |
| 09/070268       | 4/30/1998   | Granted | 12/7/1999  | 5997539    | 5259-02300USP2      | Polyaxial Pedicle Screw Having a Compression Locking Rod Gripping Mechanism           | Joseph P. Errico     | 009275/0021 Recorded 6/30/98                    |
| 08/622891       | 3/29/1996   | Granted | 8/5/1997   | 5653763    | 5259-02500US01      | Intervertebral Space Shape Conforming Cage Device                                     | Joseph P. Errico     | 009490/0692 Recorded 10/15/98                   |
| 08/567144       | 12/4/1995   | Granted | 9/16/1997  | 5667507    | 5259-02600US01      | Compression Locking Variable Length Cross-Link Device for Use with Dual Rod Apparatus | James P. Errico      | 9490/0692 Recorded 10/5/98                      |
| 08/632561       | 4/15/1996   | Granted | 1/20/1998  | 5709684    | 5259-02600USP1      | Advanced Compression Locking Variable Length Cross-Link Device                        | Joseph P. Errico     | 9490/0692 Recorded 10/5/98                      |
| 09/229297       | 1/13/1999   | Granted | 9/26/2000  | 6123707    | 5259-03000US01      | Reduction Instrument  | Erik J. Wagner       | 009840/0875 Recorded 3/2/99                     |
| 09/298269       | 4/23/1999   | Granted | 9/3/2002   | 6442814    | 5259-03100US01      | Apparatus for Manufacturing a Bone Dowel  | Erik J. Wagner       | 010085/0138 Recorded 7/12/99                    |
| 09/369964       | 8/6/1999    | Granted | 5/6/2003   | 6557226    | 5259-03100USP1      | Apparatus for Manufacturing a Bone Dowel  | Erik J. Wagner       | 010347/0747 Recorded 10/29/99                   |
| 09/532387       | 3/22/2000   | Granted | 5/20/2003  | 6565566    | 5259-03600US01      | Sacral Screw Assembly and Method  | Erik J. Wagner       | 011073/0752 Recorded 9/13/00                    |
| 10/015206       | 11/19/2001  | Filed   |            |            | 5259-03700USC1      | System and Method for Stabilizing the Human Spine with a Bone Plate                   | Jim Freid            | 010732/0443 Recorded 4/20/00                    |
| 10/735976       | 12/15/2003  | Filed   |            |            | 5259-03700USC2      | System and Method for Stabilizing the Human Spine with a Bone Plate                   | Jim Freid            | 010732/0443 Recorded 4/20/00                    |

**STATEMENT UNDER 37 CFR 3.73(b)**  
**Attachment A**

| Application No. | Filing Date | Status  | Issue Date | Patent No. | Attorney Docket No. | Title   | First Named Inventor   | Assignment Recd./Filing No. & Recordation Date               |
|-----------------|-------------|---------|------------|------------|---------------------|---|------------------------|--|
| 09/479458       | 1/6/2000    | Granted | 12/18/2001 | 6331179    | 5259-03700US01      | System and Method for Stabilizing the Human Spine with a Bone Plate | Jim Freid              | 010732/0443 Recorded 4/20/00                                 |
| 09/961758       | 9/24/2001   | Granted | 9/9/2003   | 6616671    | 5259-04000USC1      | Instrument and Method for Implanting an Interbody Fusion Device     | Michael E. Landry      | 010765/0303 Recorded 5/5/00                                  |
| 09/478923       | 1/6/2000    | Granted | 9/10/2002  | 6447512    | 5259-04000US01      | Instrument and Method for Implanting an Interbody Fusion Device     | Michael E. Landry      | 010765/0303 Recorded 5/5/00                                  |
| 09/7755183      | 7/5/2001    | Granted | 2/25/2003  | 6524312    | 5259-04000USP1      | Instrument and Method for Implanting an Interbody Fusion Device     | Michael E. Landry      | 012032/0293 Recorded 7/31/01<br>013006/0228 Recorded 5/23/02 |
| 10/387329       | 3/11/2003   | Filed   |            |            | 5259-04500US01      | Instrumentation and Procedure for Implanting Spinal Implant Devices | Michael E. Landry      | 014380/0261 Recorded 8/11/03                                 |
| 10/387363       | 3/11/2003   | Filed   |            |            | 5259-04500US02      | Instrumentation and Procedure for Implanting Spinal Implant Devices | Michael E. Landry      | 014403/0794 Recorded 8/13/03                                 |
| 10/387361       | 3/11/2003   | Filed   |            |            | 5259-04500US03      | Sinal Implant Including a Compressible Connector                    | Michael E. Landry      | 014383/0746 Recorded 8/11/03                                 |
| 09/952209       | 9/12/2001   | Granted | 6/8/2004   | 6746449    | 5259-04600US01      | Spinal Rod Translation Instrument                                   | Robert J. Jones        | 012557/0740 Recorded 1/30/02                                 |
| 09/684628       | 10/6/2000   | Filed   |            |            | 5259-04700US01      | Transverse Connector with Cam Activated Engagers                    | Grady G. McBride       | 011515/0894 Recorded 2/12/01                                 |
| 09/684218       | 10/6/2000   | Filed   |            |            | 5259-04800US01      | Adjustable Transverse Connector                                     | Grady G. McBride       | 011518/0637 Recorded 2/12/01                                 |
| 09/680756       | 10/6/2000   | Filed   |            |            | 5259-04900US01      | Adjustable Transverse Connector with Cam Activated Engagers         | Grady G. McBride       | 011593/0900 Recorded 3/15/01                                 |
| 10/337219       | 1/6/2003    | Filed   |            |            | 5259-07800US01      | Surgical Implants for Use as Spinal Spacers                         | David J. Krueger       | 014157/0620 Recorded 6/6/03                                  |
| 10/200024       | 7/19/2002   | Filed   |            |            | 5259-07900US01      | Spinal Stabilization System and Method                              | Margaret E. Mitchell   | 013449/0035 Recorded 10/29/02                                |
| 10/291245       | 11/8/2002   | Filed   |            |            | 5259-08300US01      | Spinal Implant  | Javier Garcia-Bengchoa | 013796/0202 Recorded 2/24/03                                 |

**STATEMENT UNDER 37 CFR 3.73(b)**  
**Attachment A**

| Application No. | Filing Date | Status | Issue Date | Patent No. | Attorney Docket No. | Title   | First Named Inventor   | Assignment Reel/Frame No. & Recordation Date               |
|-----------------|-------------|--------|------------|------------|---------------------|---|------------------------|--|
| 10/291223       | 11/8/2002   | Filed  |            |            | 5259-08300US02      | Instruments and Methods for Inserting a Spinal Implant                                | Javier Garcia-Bengchoa | 013786/0320 Recorded 2/21/03                               |
| 10/356391       | 1/31/2003   | Filed  |            |            | 5259-09200US01      | Spinal Plate Extender System and Method   | Jim Freid              | 014181/0907 Recorded 6/16/03                               |
| 10/351283       | 1/23/2003   | Filed  |            |            | 5259-09900US01      | Spinal Plate System for Stabilizing a Portion of a Spine                              | Jim Freid              | 014397/0674 Recorded 8/14/03                               |
| 10/351288       | 1/24/2003   | Filed  |            |            | 5259-09900US02      | Spinal Plate System for Stabilizing a Portion of a Spine                              | Jim Freid              | 014302/0118 Recorded 7/15/03                               |
| 29/155057       | 2/1/2002    | Filed  |            |            | 5259-10000US01      | Extension Plate   | Jim Freid              | 012823/0549 Recorded 4/22/02                               |
| 10/698049       | 10/30/2003  | Filed  |            |            | 5259-10700US01      | Bone Fastner Assembly for a Spinal Stabilization System                               | Michael E. Landry      | Assignment filed 4/2/04<br>Reel/Frame not yet received     |
| 10/698010       | 10/30/2003  | Filed  |            |            | 5259-10700US02      | Spinal Stabilization Systems and Methods Using Minimally Invasive Surgical Procedures | Michael E. Landry      | Assignment filed 3/30/04<br>Reel/Frame not yet received    |
| 10/697793       | 10/30/2003  | Filed  |            |            | 5259-10700US03      | Spinal Stabilization Systems and Methods  | Michael E. Landry      | Assignment filed 3/29/04<br>Reel/Frame not yet received    |
| 10/698046       | 10/30/2003  | Filed  |            |            | 5259-10800US01      | Spinal Stabilization System Using Flexible Members                                    | Michael E. Landry      | Assignment filed 3/31/04<br>Reel/Frame not yet received    |
| 10/698966       | 10/31/2003  | Filed  |            |            | 5259-11100US01      | Spinal Disc Implant   | James F. Marino        | Assignment Filed 3/12/2004;<br>Reel/Frame not yet received |
| 10/699618       | 10/31/2003  | Filed  |            |            | 5259-11300US01      | Movable Disc Implant  | David J. Krueger       | Assignment filed 4/2/04<br>Reel/Frame not yet received     |
| 10/331191       | 12/27/2002  | Filed  |            |            | 5259-12300USP1      | Pseudo Arthrosis Device   | Reginald J. Davis      | 014164/0207 Recorded 6/12/03                               |



Practitioner's Docket No. (See Attachment A)

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: (See Attachment A)

Application Number: (See Attachment A)

Application Filing Date: (See Attachment A)

Patent Number: (See Attachment A)

Patent Issuance Date: (See Attachment A)

Invention Title: (See Attachment A)

**Commissioner for Patents**

P.O. Box 1450

Alexandria, VA 22313-1450

**NOTIFICATION OF LOSS OF STATUS AS SMALL ENTITY**  
(37 C.F.R. § 1.27(g)(2))

1. Applicant asserted small entity status on the applications listed on Attachment A, on the dates listed on Attachment A, by payment of the basic filing fee as a small entity (37 C.F.R. § 1.27(c)(3)).
2. Applicant hereby notifies the Office, in accordance with the requirements of 37 C.F.R. § 1.27(g)(2), that it no longer has status as a small entity.

Beth A. Vrioni, Reg. No. 39,869

Date: August 19, 2004

Beth A. Vrioni  
Signature

Assignee:

Person authorized to sign on behalf of assignee

Spinal Concepts, Inc.  
5301 Riata Park Court  
Bldg. F  
Austin, TX 78727

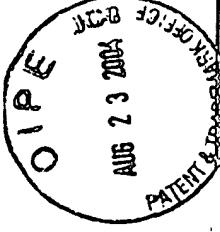
**CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a)**

I hereby certify that, on the date shown below, this correspondence is being deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 with sufficient postage as first class mail.

Date: 8/19/04

Signature

Kimberly A. Iorio  
Kimberly A. Iorio



LIST OF PATENTS AND APPLICATIONS FOR NOTIFICATION OF LOSS OF STATUS OF SMALL ENTITY  
(37 C.F.R. 1.27(c)(3))

Attachment A

| Application No. | Filing Date | Status  | Issue Date | Patent No. | Attorney Docket No. | Title                                    | First Named Inventor | Assignment Reel/Frame No. & Recordation Date   |
|-----------------|-------------|---------|------------|------------|---------------------|--|----------------------|--|
| 09/691279       | 10/17/2000  | Granted | 5/13/2003  | 6562040    | 5259-00100USC1      | Spinal Fixation System                   | Erik J. Wagner       | 008359/0658 Recorded 1/21/97   |
| 09/093756       | 6/9/1998    | Granted | 10/17/2000 | 6132430    | 5259-00100USD1      | Spinal Fixation System                   | Erik J. Wagner       | 008359/0658 Recorded 1/21/97   |
| 09/434324       | 1/14/1999   | Filed   |            |            | 5259-00100USD2      | Method and Apparatus for Spinal Fixation | Erik J. Wagner       | 008359/0658 Recorded 1/21/97;<br>008802/0192 Recorded 12/31/97; 014210/0603 Recorded 6/26/03 |
| 08/740123       | 10/24/1996  | Granted | 7/9/2002   | 6416515    | 5259-00100US01      | Spinal Fixation System                   | Erik J. Wagner       | 008359/0658 Recorded 1/21/97   |
| 08/942325       | 10/1/1997   | Granted | 7/22/2003  | 6595992    | 5259-00100USP1      | Method and Apparatus for Spinal Fixation | Erik J. Wagner       | 008802/0192 Recorded 12/31/97  |
| 09/026711       | 2/20/1998   | Granted | 11/23/1999 | 5989250    | 5259-00100USP2      | Method and Apparatus for Spinal Fixation | Erik J. Wagner       | 014210/0603 Recorded 6/26/03   |
| 09/605200       | 6/27/2000   | Granted | 6/10/2003  | 6578016    | 5259-00200USC1      | Adjustable Height Fusion Device          | Stephen Houchschuler | 009603/0209 Recorded 11/23/98; 008756/0146 Recorded 10/14/97                                 |
| 09/153178       | 9/15/1998   | Granted | 6/27/2000  | 6080193    | 5259-00200USD1      | Adjustable Height Fusion Device          | Stephen Houchschuler | 009603/0209 Recorded 11/23/98  |
| 08/847172       | 5/1/1997    | Granted | 4/4/2000   | 6045579    | 5259-00200US01      | Adjustable Height Fusion Device          | Stephen Houchschuler | 008756/0146 Recorded 10/14/97  |
| 09/046759       | 3/24/1998   | Granted | 11/4/2003  | 6641614    | 5259-00200USP1      | Multi-Adjustable-Height Fusion Cage      | Erik J. Wagner       | 009442/0848 Recorded 8/31/98   |
| 09/070116       | 4/30/1998   | Granted | 3/16/2004  | 6706070    | 5259-00200USP2      | Multi-Adjustable-Height Fusion Device    | Erik J. Wagner       | 009422/0983 Recorded 8/24/98   |
| 09/211887       | 12/15/1998  | Granted | 8/10/1999  | 5935133    | 5259-00500USD1      | Surgical Cable System and Method         | Erik J. Wagner       | 008985/0594 Recorded 2/9/98  |
| 09/211888       | 12/15/1998  | Granted | 5/21/2002  | 6391030    | 5259-00500USD2      | Surgical Cable System and Method         | Erik J. Wagner       | 008985/0594 Recorded 2/9/98  |
| 08/919127       | 8/26/1997   | Granted | 10/12/1999 | 5964769    | 5259-00500US01      | Surgical Cable System and Method         | Erik J. Wagner       | 008985/0594 Recorded 2/9/98  |
| 09/085186       | 5/26/1998   | Granted | 4/25/2000  | 6053921    | 5259-00500USP1      | Surgical Cable System and Method         | Erik J. Wagner       | 009351/0189 Recorded 7/21/98   |
| 09/360062       | 7/23/1999   | Granted | 1/27/2004  | 6682533    | 5259-00500USP2      | Surgical Cable System and Method         | Erik J. Wagner       | 009874/0023 Recorded 4/2/99  |
|                 |             |         |            |            |                     |  |                      | 010396/0094 Recorded 11/22/99  |

**LIST OF PATENTS AND APPLICATIONS FOR NOTIFICATION OF LOSS OF STATUS OF SMALL ENTITY**  
**(37 C.F.R. 1.27(c)(3))**  
**Attachment A**

| Application No. | Filing Date | Status  | Issue Date | Patent No. | Attorney Docket No. | Title   | First Named Inventor | Assignment Rec'd/Frame No. & Recordation Date |
|-----------------|-------------|---------|------------|------------|---------------------|---|----------------------|---|
| 10/036012       | 12/26/2001  | Filed   |            |            | 5259-00600USC1      | System and Method for Stabilizing the Human Spine with a Bone Plate             | Erik J. Wagner       | 009450/0947 Recorded 9/11/98                  |
| 09/089027       | 6/2/1998    | Granted | 9/24/2002  | 6454769    | 5259-00600USP1      | System and Method for Stabilizing the Human Spine with a Bone Plate             | Erik J. Wagner       | 009450/0947 Recorded 9/11/98                  |
| 09/095290       | 6/10/1998   | Granted | 2/29/2000  | 6030389    | 5259-00600USP2      | System and Method for Stabilizing the Human Spine with a Bone Plate             | Erik J. Wagner       | 009450/0959 Recorded 9/11/98                  |
| 08/896281       | 7/16/1997   | Granted | 7/27/1999  | 5928243    | 5259-00700USO1      | Pedicle Probe and Depth Gage  | Richard D. Guyer     | 008932/0159 Recorded 1/30/98                  |
| 08/265005       | 6/23/1994   | Granted | 4/16/1996  | 5507211    | 5259-01000USO1      | Releasable Socket   | Erik J. Wagner       |   |
| 09/159303       | 9/23/1998   | Granted | 11/14/2000 | 6146382    | 5259-01200USO1      | Occipito-Cervical Stabilization System and Method                               | John R. Hurbert      | 0096667/0352 Recorded 12/23/98                |
| 09/070323       | 4/30/1998   | Granted | 8/8/2000   | 6098527    | 5259-01300USO1      | Bone Protector and Method   | Stephen Houchschuler | 009650/0211 Recorded 11/9/98                  |
| 09/059162       | 4/13/1998   | Granted | 5/16/2000  | 6063089    | 5259-02100USC1      | Side Mounted Polyaxial Pedicle Screw  | Joseph P. Errico     | 009275/0021 Recorded 6/30/98                  |
| 08/772407       | 12/23/1996  | Granted | 7/7/1998   | 5776135    | 5259-02100USO1      | Side Mounted Polyaxial Pedicle Screw  | Joseph P. Errico     | 009275/0021 Recorded 6/30/98                  |
| 09/059108       | 4/13/1998   | Granted | 10/31/2000 | 6139548    | 5259-02200USC1      | Sliding Shaft Variable Length Cross-Link Device for Use with Dual Rod Apparatus | Joseph P. Errico     | 009275/0021 Recorded 6/30/98                  |
| 08/549977       | 10/30/1995  | Granted | 5/19/1998  | 5752955    | 5259-02200USO1      | Sliding Shaft Variable Length Cross-Link Device for Use with Dual Rod Apparatus | Joseph P. Errico     | 009275/0021 Recorded 6/30/98                  |
| 09/070234       | 4/30/1998   | Granted | 10/5/1999  | 5961518    | 5259-02300USC1      | Polyaxial Pedicle Screw Having a Through Bar Clamp Locking Mechanism            | Joseph P. Errico     | 009275/0021 Recorded 6/30/98                  |

**LIST OF PATENTS AND APPLICATIONS FOR NOTIFICATION OF LOSS: OF STATUS OF SMALL ENTITY**  
**(37 C.F.R. 1.27(c)(3))**  
**Attachment A**

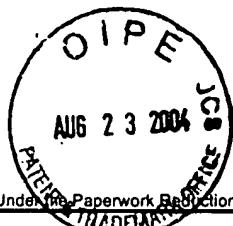
| Application No. | Filing Date | Status  | Issue Date | Patent No. | Attorney Docket No. | Title   | First Named Inventor | Assignment/Real/Frame No. & Recordation Date |
|-----------------|-------------|---------|------------|------------|---------------------|---|----------------------|--|
| 09/261977       | 3/4/1999    | Granted | 1/25/2000  | 6017344    | 5259-02300USD1      | Polyaxial Pedicle Screw Having a Through Bar Clamp Locking Mechanism                  | Joseph P. Errico     | 009275/0021 Recorded 6/30/98                 |
| 08/856773       | 5/15/1997   | Granted | 7/28/1998  | 5785711    | 5259-02300USO1      | Polyaxial Pedicle Screw Having a Through Bar Clamp Locking Mechanism                  | Joseph P. Errico     | 009275/0021 Recorded 6/30/98                 |
| 08/880810       | 6/23/1997   | Granted | 9/22/1998  | 5810819    | 5259-02300USP1      | Polyaxial Pedicle Screw Having a Compression Locking Rod Gripping Mechanism           | Joseph P. Errico     | 009275/0021 Recorded 6/30/98                 |
| 09/070268       | 4/30/1998   | Granted | 12/7/1999  | 5997539    | 5259-02300USP2      | Polyaxial Pedicle Screw Having a Compression Locking Rod Gripping Mechanism           | Joseph P. Errico     | 009275/0021 Recorded 6/30/98                 |
| 08/622891       | 3/29/1996   | Granted | 8/5/1997   | 5653763    | 5259-02500USO1      | Intervertebral Space Shape Conforming Cage Device                                     | Joseph P. Errico     | 009490/0692 Recorded 10/15/98                |
| 08/567144       | 12/4/1995   | Granted | 9/16/1997  | 5667507    | 5259-02600USO1      | Compression Locking Variable Length Cross-Link Device for Use with Dual Rod Apparatus | James P. Errico      | 9490/0692 Recorded 10/5/98                   |
| 08/632561       | 4/15/1996   | Granted | 1/20/1998  | 5709684    | 5259-02600USP1      | Advanced Compression Locking Variable Length Cross-Link Device                        | Joseph P. Errico     | 9490/0692 Recorded 10/5/98                   |
| 09/229297       | 1/13/1999   | Granted | 9/26/2000  | 6123707    | 5259-03000USO1      | Reduction Instrument  | Erik J. Wagner       | 009840/0875 Recorded 3/2/99                  |
| 09/298269       | 4/23/1999   | Granted | 9/3/2002   | 6442814    | 5259-03100USO1      | Apparatus for Manufacturing a Bone Dowel  | Erik J. Wagner       | 010085/0138 Recorded 7/12/99                 |
| 09/369964       | 8/6/1999    | Granted | 5/6/2003   | 6557226    | 5259-03100USP1      | Apparatus for Manufacturing a Bone Dowel  | Erik J. Wagner       | 010347/0747 Recorded 10/29/99                |
| 09/532387       | 3/22/2000   | Granted | 5/20/2003  | 6565566    | 5259-03600USO1      | Sacral Screw Assembly and Method  | Erik J. Wagner       | 011073/0752 Recorded 9/13/00                 |

**LIST OF PATENTS AND APPLICATIONS FOR NOTIFICATION OF LOSS OF STATUS OF SMALL ENTITY**  
**(37 C.F.R. 1.27(c)(3))**  
**Attachment A**

| Application No. | Filing Date | Status  | Issue Date | Patent No. | Attomey Docket No. | Title   | First Named Inventor | Assignment/Re/Frame No. & Recordation Date                   |
|-----------------|-------------|---------|------------|------------|--------------------|---|----------------------|--|
| 10/015206       | 11/19/2001  | Filed   |            |            | 5259-03700USC1     | System and Method for Stabilizing the Human Spine with a Bone Plate | Jim Freid            | 010732/0443 Recorded 4/20/00                                 |
| 09/479458       | 1/6/2000    | Granted | 12/18/2001 | 6331179    | 5259-03700USO1     | System and Method for Stabilizing the Human Spine with a Bone Plate | Jim Freid            | 010732/0443 Recorded 4/20/00                                 |
| 09/961758       | 9/24/2001   | Granted | 9/9/2003   | 6616671    | 5259-04000USC1     | Instrument and Method for Implanting an Interbody Fusion Device     | Michael E. Landry    | 010765/0303 Recorded 5/5/00                                  |
| 09/478923       | 1/6/2000    | Granted | 9/10/2002  | 6447512    | 5259-04000USO1     | Instrument and Method for Implanting an Interbody Fusion Device     | Michael E. Landry    | 010765/0303 Recorded 5/5/00                                  |
| 09/755183       | 7/5/2001    | Granted | 2/25/2003  | 6524312    | 5259-04000USP1     | Instrument and Method for Implanting an Interbody Fusion Device     | Michael E. Landry    | 012032/0293 Recorded 7/31/01<br>013006/0228 Recorded 5/23/02 |
| 10/387329       | 3/11/2003   | Filed   |            |            | 5259-04500USO1     | Instrumentation and Procedure for Implanting Spinal Implant Devices | Michael E. Landry    | 014380/0261 Recorded 8/11/03                                 |
| 10/387363       | 3/11/2003   | Filed   |            |            | 5259-04500USO2     | Instrumentation and Procedure for Implanting Spinal Implant Devices | Michael E. Landry    | 014403/0794 Recorded 8/13/03                                 |
| 10/387361       | 3/11/2003   | Filed   |            |            | 5259-04500USO3     | Sinal Implant Including a Compressible Connector                    | Michael E. Landry    | 014383/0746 Recorded 8/11/03                                 |
| 09/952209       | 9/12/2001   | Granted | 6/8/2004   | 6746449    | 5259-04600USO1     | Spinal Rod Translation Instrument                                   | Robert J. Jones      | 012557/0740 Recorded 1/30/02                                 |
| 09/684628       | 10/6/2000   | Filed   |            |            | 5259-04700USO1     | Transverse Connector with Cam Activated Engagers                    | Grady G. McBride     | 011151/0894 Recorded 2/12/01                                 |
| 09/684218       | 10/6/2000   | Filed   |            |            | 5259-04800USO1     | Adjustable Transverse Connector                                     | Grady G. McBride     | 011151/0637 Recorded 2/12/01                                 |
| 09/680756       | 10/6/2000   | Filed   |            |            | 5259-04900USO1     | Adjustable Transverse Connector with Cam Activated Engagers         | Grady G. McBride     | 0111593/0900 Recorded 3/15/01                                |
| 10/337219       | 1/6/2003    | Filed   |            |            | 5259-07800USO1     | Surgical Implants for Use as Spinal Spacers                         | David J. Krueger     | 014157/0620 Recorded 6/6/03                                  |

**LIST OF PATENTS AND APPLICATIONS FOR NOTIFICATION OF LOSS OF STATUS OF SMALL ENTITY**  
**(37 C.F.R. 1.27(c)(3))**  
**Attachment A**

| Application No. | Filing Date | Status  | Issue Date | Patent No. | Attorney Docket No. | Title  | First Named Inventor   | Assignment Rec'd/Frame No. & Recordation Date |
|-----------------|-------------|---------|------------|------------|---------------------|--|------------------------|---|
| 10/200024       | 7/19/2002   | Filed   |            |            | 5259-07900US01      | Spinal Stabilization System and Method                   | Margaret E. Mitchell   | 013449/0035 Recorded 10/29/02                 |
| 10/291245       | 11/8/2002   | Filed   |            |            | 5259-08300US01      | Spinal Implant   | Javier Garcia-Bengchoa | 013796/0202 Recorded 2/24/03                  |
| 10/291223       | 11/8/2002   | Filed   |            |            | 5259-08300US02      | Instruments and Methods for Inserting a Spinal Implant   | Javier Garcia-Bengchoa | 013786/0320 Recorded 2/21/03                  |
| 10/356391       | 1/31/2003   | Filed   |            |            | 5259-09200US01      | Spinal Plate Extender System and Method                  | Jim Freid              | 014181/0907 Recorded 6/16/03                  |
| 10/351283       | 1/23/2003   | Filed   |            |            | 5259-09900US01      | Spinal Plate System for Stabilizing a Portion of a Spine | Jim Freid              | 014397/0674 Recorded 8/14/03                  |
| 10/351288       | 1/24/2003   | Filed   |            |            | 5259-09900US02      | Spinal Plate System for Stabilizing a Portion of a Spine | Jim Freid              | 014302/0118 Recorded 7/15/03                  |
| 29/155057       | 2/11/2002   | Filed   |            |            | 5259-10000US01      | Extension Plate  | Jim Freid              | 012823/0549 Recorded 4/22/02                  |
| 10/035052       | 12/28/2001  | Granted | 5/18/2004  | 6736850    | 5259-12300US01      | Pseudo Arthrosis Device                                  | Reginald J. Davis      |   |
| 10/331191       | 12/27/2002  | Filed   |            |            | 5259-12300USP1      | Pseudo Arthrosis Device                                  | Reginald J. Davis      | 014164/0207 Recorded 6/12/03                  |



PTO/SB/81 (06-04)

Approved for use through 11/30/2005. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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and  
CORRESPONDENCE ADDRESS  
INDICATION FORM**

|                               |                  |
|-------------------------------|------------------|
| <b>Application Number</b>     | See Attachment A |
| <b>Filing Date</b>            | See Attachment A |
| <b>First Named Inventor</b>   | See Attachment A |
| <b>Title</b>                  | See Attachment A |
| <b>Art Unit</b>               |                  |
| <b>Examiner Name</b>          |                  |
| <b>Attorney Docket Number</b> | See Attachment A |

I hereby appoint:

 Practitioners associated with the Customer Number:

23492

OR

 Practitioner(s) named below:

| Name | Registration Number |
|------|---------------------|
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as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

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| <input type="checkbox"/> | Firm or Individual Name |     |
| Address                  |                         |     |
| Address                  |                         |     |
| City                     | State                   | Zip |
| Country                  |                         |     |
| Telephone                | Fax                     |     |

I am the:

 Applicant/Inventor. Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)**SIGNATURE of Applicant or Assignee of Record** (if assignee, put name, title and company name in the "Name" space below)

|           |                   |           |                |
|-----------|-------------------|-----------|----------------|
| Name      | Jeffrey R. Binder |           |                |
| Signature |                   |           |                |
| Date      | 7/23/04           | Telephone | (512) 918-2700 |

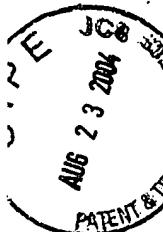
NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

 \*Total of \_\_\_\_\_ forms are submitted.

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**Attachment A**



| Application No. | Filing Date | Status  | Issue Date | Patent No. | Attorney Docket No. | Title                                       | First Named Inventor | Assignment Recd/Frame No. & Recordation Date   |
|-----------------|-------------|---------|------------|------------|---------------------|---|----------------------|--|
| 09/691279       | 10/17/2000  | Granted | 5/13/2003  | 6562040    | 5259-00100UUSC1     | Spinal Fixation System                      | Erik J. Wagner       | 008359/0658 Recorded 1/21/97   |
| 09/093756       | 6/9/1998    | Granted | 10/17/2000 | 6132430    | 5259-00100USD1      | Spinal Fixation System                      | Erik J. Wagner       | 008359/0658 Recorded 1/21/97   |
|                 |             |         |            |            |                     |   |                      | 008359/0658 Recorded 1/21/97;<br>008802/0192 Recorded<br>12/31/97; 014210/0603<br>Recorded 6/26/03 |
| 09/434324       | 11/14/1999  | Filed   |            |            | 5259-00100USD2      | Method and Apparatus for<br>Spinal Fixation | Erik J. Wagner       | 008359/0658 Recorded 1/21/97   |
| 08/7740123      | 10/24/1996  | Granted | 7/9/2002   | 6416515    | 5259-00100USO1      | Spinal Fixation System                      | Erik J. Wagner       | 008802/0192 Recorded<br>12/31/97   |
| 08/942325       | 10/1/1997   | Granted | 7/22/2003  | 6595992    | 5259-00100USP1      | Method and Apparatus for<br>Spinal Fixation | Erik J. Wagner       | 008802/0192 Recorded<br>12/31/97   |
| 09/026711       | 2/20/1998   | Granted | 11/23/1999 | 5989250    | 5259-00100USP2      | Method and Apparatus for<br>Spinal Fixation | Erik J. Wagner       | 014210/0603 Recorded 6/26/03   |
| 09/605200       | 6/27/2000   | Granted | 6/10/2003  | 6576016    | 5259-00200UUSC1     | Adjustable Height Fusion<br>Device          | Stephen Houchschuler | 009603/0209 Recorded<br>11/23/98; 008756/0146<br>Recorded 10/14/97                                 |
| 09/153178       | 9/15/1998   | Granted | 6/27/2000  | 6080193    | 5259-00200USD1      | Adjustable Height Fusion<br>Device          | Stephen Houchschuler | 009603/0209 Recorded<br>11/23/98   |
| 08/847172       | 5/1/1997    | Granted | 4/4/2000   | 6045579    | 5259-00200USO1      | Adjustable Height Fusion<br>Device          | Stephen Houchschuler | 008756/0146 Recorded<br>10/14/97   |
| 09/046759       | 3/24/1998   | Granted | 11/4/2003  | 6641614    | 5259-00200USP1      | Multi-Adjustable-Height<br>Fusion Cage      | Erik J. Wagner       | 009442/0848 Recorded 8/31/98   |
| 09/070116       | 4/30/1998   | Granted | 3/16/2004  | 6706070    | 5259-00200USP2      | Multi-Adjustable-Height<br>Fusion Device    | Erik J. Wagner       | 009422/0983 Recorded 8/24/98   |
| 09/211887       | 12/15/1998  | Granted | 8/10/1999  | 5935133    | 5259-00500USD1      | Surgical Cable System and<br>Method         | Erik J. Wagner       | 008985/0594 Recorded 2/9/98  |
| 09/211888       | 12/15/1998  | Granted | 5/21/2002  | 6391030    | 5259-00500USD2      | Surgical Cable System and<br>Method         | Erik J. Wagner       | 008985/0594 Recorded 2/9/98  |
| 08/919127       | 8/26/1997   | Granted | 10/12/1999 | 5964769    | 5259-00500USO1      | Surgical Cable System and<br>Method         | Erik J. Wagner       | 008985/0594 Recorded 2/9/98  |
| 09/085186       | 5/26/1998   | Granted | 4/25/2000  | 6053921    | 5259-00500USP1      | Surgical Cable System and<br>Method         | Erik J. Wagner       | 009351/0189 Recorded 7/21/98<br>009874/0023 Recorded 4/2/99  |
| 09/360062       | 7/23/1999   | Granted | 1/27/2004  | 6682533    | 5259-00500USP2      | Surgical Cable System and<br>Method         | Erik J. Wagner       | 010396/0094 Recorded<br>11/22/99   |

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| Application No. | Filing Date | Status  | Issue Date | Patent No. | Attorney Docket No. | Title           | First Name of Inventor  | Assignment Reference No. & Recordation Date       |
|-----------------|-------------|---------|------------|------------|---------------------|-----------------|---|---|
| 10/036012       | 12/26/2001  | Filed   |            |            |                     | 5259-006000USC1 | System and Method for Stabilizing the Human Spine with a Bone Plate             | Erik J. Wagner 009450/0947 Recorded 9/11/98       |
| 09/089027       | 6/2/1998    | Granted | 9/24/2002  | 6454769    |                     | 5259-006000USP1 | System and Method for Stabilizing the Human Spine with a Bone Plate             | Erik J. Wagner 009450/0947 Recorded 9/11/98       |
| 09/095290       | 6/10/1998   | Granted | 2/29/2000  | 6030389    |                     | 5259-006000USP2 | System and Method for Stabilizing the Human Spine with a Bone Plate             | Erik J. Wagner 009450/0959 Recorded 9/11/98       |
| 08/896281       | 7/16/1997   | Granted | 7/27/1999  | 5928243    |                     | 5259-007000US01 | Pedicle Probe and Depth Gage  | Richard D. Guyer 008932/0159 Recorded 1/30/98     |
| 09/159303       | 9/23/1998   | Granted | 11/14/2000 | 6146382    |                     | 5259-012000US01 | Occipito-Cervical Stabilization System and Method                               | John R. Hurlbert 0096667/0352 Recorded 12/23/98   |
| 09/070323       | 4/30/1998   | Granted | 8/8/2000   | 6099527    |                     | 5259-013000US01 | Bone Protector and Method   | Stephen Houchschuler 009650/0211 Recorded 11/9/98 |
| 09/059162       | 4/13/1998   | Granted | 5/16/2000  | 6063089    |                     | 5259-021000USC1 | Side Mounted Polyaxial Pedicle Screw  | Joseph P. Errico 009275/0021 Recorded 6/30/98     |
| 08/772407       | 12/23/1996  | Granted | 7/7/1998   | 5776135    |                     | 5259-021000US01 | Side Mounted Polyaxial Pedicle Screw  | Joseph P. Errico 009275/0021 Recorded 6/30/98     |
| 09/059108       | 4/13/1998   | Granted | 10/31/2000 | 6139548    |                     | 5259-022000USC1 | Sliding Shaft Variable Length Cross-Link Device for Use with Dual Rod Apparatus | Joseph P. Errico 009275/0021 Recorded 6/30/98     |
| 08/549977       | 10/30/1995  | Granted | 5/19/1998  | 5752955    |                     | 5259-022000US01 | Sliding Shaft Variable Length Cross-Link Device for Use with Dual Rod Apparatus | Joseph P. Errico 009275/0021 Recorded 6/30/98     |
| 09/070234       | 4/30/1998   | Granted | 10/5/1999  | 5961518    |                     | 5259-023000USC1 | Polyaxial Pedicle Screw Having a Through Bar Clamp Locking Mechanism            | Joseph P. Errico 009275/0021 Recorded 6/30/98     |
| 09/261977       | 3/4/1999    | Granted | 1/25/2000  | 6017344    |                     | 5259-023000USD1 | Polyaxial Pedicle Screw Having a Through Bar Clamp Locking Mechanism            | Joseph P. Errico 009275/0021 Recorded 6/30/98     |

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|-----------------|-------------|---------|------------|------------|---------------------|---|----------------------|--|
| 08/856773       | 5/15/1997   | Granted | 7/28/1998  | 5785711    | 5259-02300US01      | Polyaxial Pedicle Screw Having a Through Bar Clamp Locking Mechanism                  | Joseph P. Errico     | 009275/0021 Recorded 6/30/98                 |
| 08/880810       | 6/23/1997   | Granted | 9/22/1998  | 5810819    | 5259-02300USP1      | Polyaxial Pedicle Screw Having a Compression Locking Rod Gripping Mechanism           | Joseph P. Errico     | 009275/0021 Recorded 6/30/98                 |
| 09/070268       | 4/30/1998   | Granted | 12/7/1999  | 5997539    | 5259-02300USP2      | Polyaxial Pedicle Screw Having a Compression Locking Rod Gripping Mechanism           | Joseph P. Errico     | 009275/0021 Recorded 6/30/98                 |
| 08/622891       | 3/29/1996   | Granted | 8/5/1997   | 5653763    | 5259-02500US01      | Intervertebral Space Shape Conforming Cage Device                                     | Joseph P. Errico     | 009490/0692 Recorded 10/15/98                |
| 08/567144       | 12/4/1995   | Granted | 9/16/1997  | 5667507    | 5259-02600US01      | Compression Locking Variable Length Cross-Link Device for Use with Dual Rod Apparatus | James P. Errico      | 9490/0692 Recorded 10/5/98                   |
| 08/632561       | 4/15/1996   | Granted | 1/20/1998  | 5709684    | 5259-02600USP1      | Advanced Compression Locking Variable Length Cross-Link Device                        | Joseph P. Errico     | 9490/0692 Recorded 10/5/98                   |
| 09/229297       | 1/13/1999   | Granted | 9/26/2000  | 6123707    | 5259-03000US01      | Reduction Instrument  | Erik J. Wagner       | 009840/0875 Recorded 3/2/99                  |
| 09/298269       | 4/23/1999   | Granted | 9/3/2002   | 6442814    | 5259-03100US01      | Apparatus for Manufacturing a Bone Dowel  | Erik J. Wagner       | 010085/0138 Recorded 7/12/99                 |
| 09/369964       | 8/6/1999    | Granted | 5/6/2003   | 6557226    | 5259-03100USP1      | Apparatus for Manufacturing a Bone Dowel  | Erik J. Wagner       | 010347/0747 Recorded 10/29/99                |
| 09/532387       | 3/22/2000   | Granted | 5/20/2003  | 6565566    | 5259-03600US01      | Sacral Screw Assembly and Method  | Erik J. Wagner       | 011073/0752 Recorded 9/13/00                 |
| 10/015206       | 11/19/2001  | Filed   |            |            | 5259-03700USC1      | System and Method for Stabilizing the Human Spine with a Bone Plate                   | Jim Freid            | 010732/0443 Recorded 4/20/00                 |
| 10/735976       | 12/15/2003  | Filed   |            |            | 5259-03700USC2      | System and Method for Stabilizing the Human Spine with a Bone Plate                   | Jim Freid            | 010732/0443 Recorded 4/20/00                 |

**Power of Attorney and Change of Correspondence**  
**Attachment A**

| Application No. | Filing Date | Status  | Issue Date | Patent No. | Attorney/Docket No. | Title   | Firm/Named Inventor    | Assignment Reel/Frame No. & Recordation Date              |
|-----------------|-------------|---------|------------|------------|---------------------|---|------------------------|---|
| 09/479458       | 1/6/2000    | Granted | 12/18/2001 | 6331179    | 5259-03700US01      | System and Method for Stabilizing the Human Spine with a Bone Plate | Jim Freid              | 010732/0443 Recorded 4/20/00                              |
| 09/961758       | 9/24/2001   | Granted | 9/9/2003   | 6616671    | 5259-04000USC1      | Instrument and Method for Implanting an Interbody Fusion Device     | Michael E. Landry      | 010765/0303 Recorded 5/5/00                               |
| 09/478923       | 1/6/2000    | Granted | 9/10/2002  | 6447512    | 5259-04000US01      | Instrument and Method for Implanting an Interbody Fusion Device     | Michael E. Landry      | 010765/0303 Recorded 5/5/00                               |
| 09/755183       | 7/5/2001    | Granted | 2/25/2003  | 6524312    | 5259-04000USP1      | Instrument and Method for Implanting an Interbody Fusion Device     | Michael E. Landry      | 012032/0293 Recorded 7/31/01 013006/0228 Recorded 5/23/02 |
| 10/387329       | 3/11/2003   | Filed   |            |            | 5259-04500US01      | Instrumentation and Procedure for Implanting Spinal Implant Devices | Michael E. Landry      | 014380/0261 Recorded 8/11/03                              |
| 10/387363       | 3/11/2003   | Filed   |            |            | 5259-04500US02      | Instrumentation and Procedure for Implanting Spinal Implant Devices | Michael E. Landry      | 014403/0794 Recorded 8/13/03                              |
| 10/387361       | 3/11/2003   | Filed   |            |            | 5259-04500US03      | Sinal Implant Including a Compressible Connector                    | Michael E. Landry      | 014383/0746 Recorded 8/11/03                              |
| 09/952209       | 9/12/2001   | Granted | 6/8/2004   | 6746449    | 5259-04600US01      | Spinal Rod Translation Instrument                                   | Robert J. Jones        | 012557/0740 Recorded 1/30/02                              |
| 09/684628       | 10/6/2000   | Filed   |            |            | 5259-04700US01      | Transverse Connector with Cam Activated Engagers                    | Grady G. McBride       | 011515/0894 Recorded 2/12/01                              |
| 09/684218       | 10/6/2000   | Filed   |            |            | 5259-04800US01      | Adjustable Transverse Connector                                     | Grady G. McBride       | 011518/0637 Recorded 2/12/01                              |
| 09/680756       | 10/6/2000   | Filed   |            |            | 5259-04900US01      | Adjustable Transverse Connector with Cam Activated Engagers         | Grady G. McBride       | 011593/0900 Recorded 3/15/01                              |
| 10/337219       | 1/6/2003    | Filed   |            |            | 5259-07800US01      | Surgical Implants for Use as Spinal Spacers                         | David J. Krueger       | 014157/0620 Recorded 6/6/03                               |
| 10/200024       | 7/19/2002   | Filed   |            |            | 5259-07900US01      | Spinal Stabilization System and Method                              | Margaret E. Mitchell   | 013449/0035 Recorded 10/29/02                             |
| 10/291245       | 11/8/2002   | Filed   |            |            | 5259-08300US01      | Spinal Implant  | Javier Garcia-Bengchoa | 013796/0202 Recorded 2/24/03                              |

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**Attachment A**

| Application No. | Filing Date | Status | Issue Date | Patent No.     | Attorney Docket No. | Title   | First Named Inventor   | Assignment Reel/Frame No. & Recordation Date            |
|-----------------|-------------|--------|------------|----------------|---------------------|---|------------------------|---|
| 10/291223       | 11/8/2002   | Filed  |            | 5259-08300US02 |                     | Instruments and Methods for Inserting a Spinal Implant                                | Javier Garcia-Bengchoa | 013786/0320 Recorded 2/21/03                            |
| 10/356391       | 1/31/2003   | Filed  |            | 5259-09200US01 |                     | Spinal Plate Extender System and Method   | Jim Freid              | 014181/0907 Recorded 6/16/03                            |
| 10/351283       | 1/23/2003   | Filed  |            | 5259-09900US01 |                     | Spinal Plate System for Stabilizing a Portion of a Spine                              | Jim Freid              | 014397/0674 Recorded 8/14/03                            |
| 10/351288       | 1/24/2003   | Filed  |            | 5259-09900US02 |                     | Spinal Plate System for Stabilizing a Portion of a Spine                              | Jim Freid              | 014302/0118 Recorded 7/15/03                            |
| 29/155057       | 2/1/2002    | Filed  |            | 5259-10000US01 |                     | Extension Plate   | Jim Freid              | 012823/0549 Recorded 4/22/02                            |
| 10/698049       | 10/30/2003  | Filed  |            | 5259-10700US01 |                     | Bone Fastener Assembly for a Spinal Stabilization System                              | Michael E. Landry      | Assignment filed 4/2/04 Reel/Frame not yet received     |
| 10/698010       | 10/30/2003  | Filed  |            | 5259-10700US02 |                     | Spinal Stabilization Systems and Methods Using Minimally Invasive Surgical Procedures | Michael E. Landry      | Assignment filed 3/30/04 Reel/Frame not yet received    |
| 10/697793       | 10/30/2003  | Filed  |            | 5259-10700US03 |                     | Spinal Stabilization Systems and Methods  | Michael E. Landry      | Assignment filed 3/29/04 Reel/Frame not yet received    |
| 10/698046       | 10/30/2003  | Filed  |            | 5259-10800US01 |                     | Spinal Stabilization System Using Flexible Members                                    | Michael E. Landry      | Assignment filed 3/31/04 Reel/Frame not yet received    |
| 10/698966       | 10/31/2003  | Filed  |            | 5259-11100US01 |                     | Spinal Disc Implant   | James F. Marino        | Assignment Filed 3/12/2004; Reel/Frame not yet received |
| 10/699618       | 10/31/2003  | Filed  |            | 5259-11300US01 |                     | Movable Disc Implant  | David J. Krueger       | Assignment filed 4/2/04 Reel/Frame not yet received     |
| 10/331191       | 12/27/2002  | Filed  |            | 5259-12300USP1 |                     | Pseudo Arthrosis Device   | Reginald J. Davis      | 014164/0207 Recorded 6/12/03                            |